

BROKERAGE SERVICES TARIFFS

SPECIFICATION		COMMISSION *
1.	BROKERAGE SERVICES	
1.1	Selling/purchasing Bonds	
	1.1.1 – From USD 500 to USD 100,000	0.25% (25 bps), min USD 75 / per operation
	1.1.2 – From USD 100,001 to USD 500,000	0.20% (20 bps) / per operation
	1.1.3 – From USD 500,001 to 1,000,000	0.15% (15 bps) / per operation
	1.1.4 – Above 1,000,001	0.10% (10 bps) / per operation
1.2	Selling/Purchasing Shares	0.20% of the transaction amount (Min USD 10)
1.3	Amendments/Cancellations of Orders	USD 60
1.4	Funds Withdrawal **	USD 85 / per operation

CUSTODIAL SERVICES TARIFFS

SPECIFICATION		COMMISSION *
1.	CUSTODIAN SERVICES	
1.1	Account/sub-account opening/closing	Free of Charge
1.2	Accepting Securities	USD 100 / per operation
1.3	Account maintenance fee	USD 50 / Monthly
2.	SETTLEMENT SERVICES ***	
2.1	Transferring within Agent's custodian system *****	free of charge
2.2	Transaction fee OTC	
	2.2.1 – ADRs and GDRs	USD 100 / per operation
	2.2.2 – Eurobonds, shares	USD 100 / per operation
	2.2.3 – Ukrainian local shares	USD 50 / per operation
2.3	Safekeeping of Eurobonds, ADRs and GDRs	Monthly charge of 0.03% from market value
2.4	Safekeeping of Securities (UK_CREST)	Monthly charge of 0.05% from market value
2.5	Safekeeping of Securities (USA_DTC)	Monthly charge of 0.05% from market value
2.6	Safekeeping Ukrainian local shares	USD 500 / Monthly
2.7	Safekeeping Derivative Instruments	Free of Charge
2.8	Full Proxy Voting	USD 200 (plus external charges)
2.9	Funds Deposit	free of charge
2.10	Funds Withdrawal **	USD 85 / per operation

3.	STATEMENTS	
3.1	Issuance and delivery via e-mail of standard Account Statement (daily, monthly)	Free of Charge

OTHER SERVICES TARIFFS

SPECIFICATION		COMMISSION *
1.	OTHER SERVICES	
1.1	Opening of an additional internal account	USD 20 / per account
1.2	Opening of an individual segregated account for client's assets	USD 300 ****
1.3	Confirmation or other official letter	USD 10
1.4	Reactivation of a Closed Account	Free of Charge / Minimum Deposit USD 5.000

* All charges do not include VAT.

** All related bank fees & tariffs regarding Clients' funds withdrawals are charged to the Beneficiary (Client).

*** All ancillary costs (including but not limited to notarial fees, registration fees, translation fees, stamp duty, tax registration fee, travel expenses, out of pocket fees), together with any applicable VAT, are to be reimbursed by the Client upon receipt of appropriate invoice from the Broker.

**** The fee does not include charges for services provided by third parties.

***** Internal transfer is a transfer between Client's investment accounts in Investment firm without location changes.

NOTES:

- In case of absence on Client's account funds in the currency specified in this tariff, the Investment firm shall be entitled to hold the equivalent amount in other currencies available on the Client's account without any additional instructions from the Client.
- The following minimum is required to open an account - USD 5.000 (or non-USD equivalent).
- The fee excludes charges for services provided by third parties: Sub-custodians, Clearers, Agent, fees for annulment/amendment of instructions, reregistration fees and other intermediaries, as well as any registration stamps, taxes and duties. The Client reimburses such costs to the Investment Firm additionally.